



67,041-001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Hodges  
Serial Number: 10/016,389  
Filed: 12/10/2001  
Group Art Unit: 3626  
Examiner: Glass, Russell S.  
Title: METHOD OF GROUPING PATIENT INFORMATION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Pursuant to Rule 97 and in compliance with Rule 56, Applicant hereby makes of record the items listed on the attached Form PTO/SB/08A.

The examiner has indicated that, for at least the articles he has specifically mentioned, no petition fee would be required. Applicant has submitted additional articles that, although redundant, are somewhat similar to those mentioned by the examiner. It is assumed no petition fee is due. However, the Commissioner is authorized to charge Deposit Account No. 50-1482 in the name of Carlson, Gaskey & Olds for any additional fees or credit the account for any overpayment.

Respectfully submitted,

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Theodore W. Olds, Reg. No. 33,080  
Carlson, Gaskey & Olds  
400 W. Maple Road, Ste. 350  
Birmingham, MI 48009  
(248) 988-8360


Dated: October 4, 2006



67,041-001

**CERTIFICATE OF MAIL**

I hereby certify that the enclosed Response is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 4<sup>th</sup> day of October, 2006.

  
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Laura Combs

Please type a plus sign (+) inside this box → ☐

PTO/SB/08B (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

### Complete if Known

Application Number	10/016,389
Filing Date	12/10/2001
First Named Inventor	Hodges
Group Art Unit	3626
Examiner Name	Glass, Russell S.
Attorney Docket Number	67041-001

### OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"The Use of Psychological Testing for Treatment Planning and Outcomes Assessment," Lawrence Erlbaum Associates, Publishers, 1999	
		Child and Adolescent Functional Assessment Scale, Kay Hodges, Ph.D., 1990, 1994	
		"The Relationship Between the Child and Adolescent Functional Assessment Scale (CAFAS) and Indicators of Functioning," Kay Hodges, Ph.D., et al., 1999 Human Sciences Press Inc.	
		"Psychometric Characteristics of Multidimensional Measure to Assess Impairment: The Child and Adolescent Functional Assessment Scale," Kay Hodges, Ph.D., 1996 Human Sciences Press	
		"Use of the Child and Adolescent Functional Assessment Scale to Predict Service Utilization and Cost," Kay Hodges, Ph.D., et al., Journal of Mental Health Admin. 24(3), 278-290	
		"Use of the Child and Adolescent Functional Assessment Scale (CAFAS) as an Outcome Measure in Clinical Settings," Kay Hodges, Ph.D., et al., Journal of Behavioral Health, Aug., 1998	
		"Predicting Service Utilization with the Child and Adolescent Functional Assessment Scale in a Sample of Youths...", Kay Hodges, et al., Journal of Behavioral Health, Feb., 2000	
		"The Role of Monitoring Outcomes in Initiating Implementation of Evidence-Based Treatments at the State Level," Kay Hodges, et al., Psychiatric Services, April, 2004, Vol. 55, No. 4	
		"Use of the CAFAS to Evaluate Outcome for Youths With Severe Emotional Disturbance ...," Kay Hodges, et al., 2004 Human Sciences Press, Inc.	
		"Psychometric Study of the Child and Adolescent Functional Assessment Scale...", Kay Hodges, et al., Journal of Abnormal Child Psychology, Vol. 28, No. 3, 2000	
		"Predictors of Outcome for Children With Behavioral Problems Served in Public Mental Health," Kay Hodges, et al., Journal of Clinical Child and Adolescent Psychology 2004, Vol. 33	

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>			<b>Complete if Known</b>	
			Application Number	10/016,389
			Filing Date	12/10/2001
			First Named Inventor	Hodges
			Group Art Unit	3626
			Examiner Name	Glass, Russell S.
Sheet 2	of 2	Attorney Docket Number	67041-001	

[illegible]

Examiner Signature		Date Considered	
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